



Corporate Cash Management Group (CCMG)

Corporate Cash Management Group
UBS Financial Services Inc.

The UBS Tower
One North Wacker Drive, 33rd Floor
Chicago, IL 60606
800-372-1993 toll free

advisors.ubs.com/corporatecashmanagement

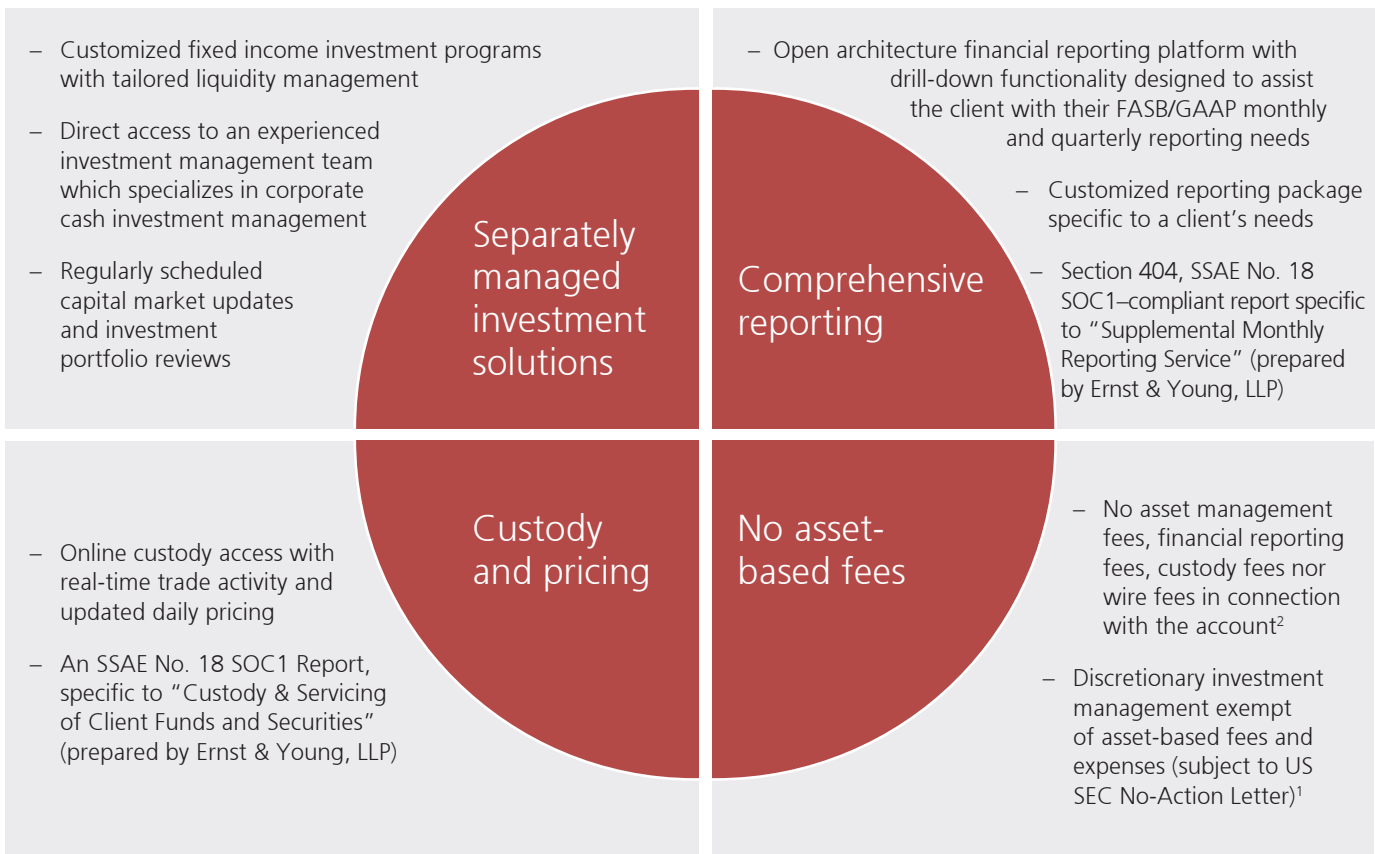


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Department overview

UBS' Corporate Cash Management Group (CCMG) designs and implements separately managed, fixed income investment management programs for corporations, institutions, family offices and ultra high net worth individuals. Our vertically integrated solutions are customized to achieve client-specific goals and objectives consistent with their investment policy parameters, liquidity needs and tax status.¹

Our competitive advantages



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Consultative approach. Client-driven. Collaborative and customized.

UBS' Corporate Cash Management Group (CCMG) delivers conservatively allocated, short-term fixed income separately-managed investment solutions. Clients appreciate our transparent, collaborative and client-driven education based investment management philosophy and culture. We recognize that each client has unique investment objectives, risk tolerance levels and liquidity needs. Our short duration, fixed income solutions are customized to achieve our clients' investment policy statement (IPS) parameters, tax status, cash flow and liquidity requirements.

Nationwide coverage

UBS serves clients throughout the United States, providing separately managed investment solutions to public corporations, private companies, family offices, ultra-high-net-worth individuals, hospitals, universities and institutional investors.

Experienced cash investment management team

We believe experience is one of our defining competitive advantages. Our department is committed to a well-defined, thoughtful investment philosophy to ensure a consistent and disciplined investment process. With over 250 years of combined experience, we are dedicated to providing a seamless, vertically integrated solution to clients with a strong emphasis on preservation of capital, liquidity and return (in order of priority). We balance fresh perspectives with diligent ongoing quarterly investment portfolio reviews and capital markets updates.

Market access

Our comprehensive and robust access to an extensive network of market makers is a cornerstone of our institutional pricing and product deliverable. UBS maintains relationships with more than 40 broker-dealers and market makers to ensure a breadth of investment opportunities are available on a daily basis. The use of our global and proprietary UBS research aids in the investment management decision-making process and incorporates a high standard of excellence and controls our clients expect and require every day.

No asset-based fees

UBS' Corporate Cash Management Group (CCMG) department does not charge asset management fees, portfolio reporting fees, custody fees nor wire fees. The investment account would be opened as a brokerage account.²

Comprehensive portfolio reporting and custody solution

CCMG prepares customized month-end reports consistent with FASB/GAAP standards to meet clients' specific weekly, monthly and/or quarterly reporting needs. Primary and secondary portfolio reporting associates are assigned to each client. Our portfolio reporting solution is tailored to accommodate each client's accounting calendars and are electronically delivered on "Day 1" of the month-end close. As part of your audit operating control requirements, you will receive SSAE No. 18 (SOC 1) reports prepared by a "Big Four" auditor (Ernst & Young), one report covering the custody and servicing of client funds and a separate SOC 1 report specific to CCMG and its portfolio reporting solution. This ensures a high-level of confidence and peace of mind consistent with your monthly/quarterly reporting needs. Corporate clients choose to custody their assets with UBS because of the firm's global strength, rigorous controls and segregation requirements to ensure that securities custodied at UBS are safe and secure.

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¹ For discretionary cash management services. The Corporate Cash Management Group offers both discretionary account management services and non-discretionary account services. The Corporate Cash Management Group's discretionary account management services are offered subject to parameters outlined in an SEC No-Action Letter (for more information about the September 29, 2005 SEC No-Action Letter, please go to <http://www.sec.gov/divisions/investment/noaction/ubs092905.htm>.) All Corporate Cash Management Group services are through a brokerage account and UBS acts as a broker-dealer. The Corporate Cash Management Group does not act as an investment adviser and the Investment Advisers Act of 1940 does not apply.

² The account would be opened as a brokerage account with UBS Financial Services Inc. and would be compensated in the following manner: (a) with regard to transactions in newly issued or remarketed securities where the firm is a member of the UBS offering syndicate or acting as remarketing agent or broker-dealer, securities will be purchased for the account at the public offering price, or at par in the case of variable rate demand obligations, and the firm shall be compensated by the issuer of such securities; (b) with regard to transactions executed in the secondary market, the firm may act as principal, in which case it generally is compensated by retaining the bid/offer spread applicable to securities in addition to a mark up or mark down, or as agent, in which case the firm will charge a commission. The client may also be required to pay the reasonable and customary fees or charges as the firm may impose from time to time.

Accolades are independently determined and awarded by their respective publications. For more information on a particular rating, visit their corresponding websites. Neither UBS Financial Services Inc. nor its employees pay a fee in exchange for these ratings. Accolades can be based on a variety of criteria including length of service, compliance records, client satisfaction, assets under management, revenue, type of clientele and more.

It is important that you understand the ways in which UBS Financial Services Inc. (UBS) conducts business and the applicable laws and regulations that govern the firm. As a firm providing wealth management services to clients, UBS Financial Services Inc. offers investment advisory services in its capacity as an SEC-registered investment adviser and brokerage services in its capacity as an SEC-registered broker-dealer. Investment advisory services and brokerage services are separate and distinct, differ in material ways and are governed by different laws and separate arrangements. It is important that you understand the ways in which we conduct business, and that you carefully read the agreements and disclosures that we provide to you about the products or services we offer. For more information, please review the client relationship summary provided at ubs.com/relationshipsummary, or ask your UBS Financial Advisor for a copy.

For designation disclosures visit ubs.com/us/en/designation-disclosures.

UBS Financial Services Inc., its affiliates and its employees are not in the business of providing tax or legal advice. Clients should seek advice based on their particular circumstances from an independent tax or legal advisor.

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